

Rapid loss of lakes on the Mongolian Plateau

Shengli Tao^a, Jingyun Fang^{a,b,1}, Xia Zhao^b, Shuqing Zhao^a, Haihua Shen^b, Huifeng Hu^b, Zhiyao Tang^a, Zhiheng Wang^a, and Qinghua Guo^b

^aCollege of Urban and Environmental Sciences, and Key Laboratory for Earth Surface Processes of the Ministry of Education, Peking University, Beijing, China 100871; and ^bState Key Laboratory of Vegetation and Environmental Change, Institute of Botany, Chinese Academy of Sciences, Beijing, China 100093

Edited by B. L. Turner, Arizona State University, Tempe, AZ, and approved December 24, 2014 (received for review June 23, 2014)

Lakes are widely distributed on the Mongolian Plateau and, as critical water sources, have sustained Mongolian pastures for hundreds of years. However, the plateau has experienced significant lake shrinkage and grassland degradation during the past several decades. To quantify the changes in all of the lakes on the plateau and the associated driving factors, we performed a satellite-based survey using multitemporal *Landsat* images from the 1970s to 2000s, combined with ground-based censuses. Our results document a rapid loss of lakes on the plateau in the past decades: the number of lakes with a water surface area >1 km² decreased from 785 in the late 1980s to 577 in 2010, with a greater rate of decrease (34.0%) in Inner Mongolia of China than in Mongolia (17.6%). This decrease has been particularly pronounced since the late 1990s in Inner Mongolia and the number of lakes >10 km² has declined by 30.0%. The statistical analyses suggested that in Mongolia precipitation was the dominant driver for the lake changes, and in Inner Mongolia coal mining was most important in its grassland area and irrigation was the leading factor in its cultivated area. The deterioration of lakes is expected to continue in the following decades not only because of changing climate but also increasing exploitation of underground mineral and ground-water resources on the plateau. To protect grasslands and the indigenous nomads, effective action is urgently required to save these valuable lakes from further deterioration.

Mongolia | lake shrinkage | mining | irrigation | climate change

The Mongolian Plateau, located in the hinterland of temperate Asia, sustains the eastern part of the Eurasian Steppe (1). The Inner Mongolia Autonomous Region of China (Inner Mongolia hereafter) and the entire territory of Mongolia (formerly the Mongolian People's Republic) constitute its core region, with an area of about 2.75 million km² and a population of about 28 million (2–4). The plateau is dotted with numerous lakes surrounded by vast grasslands (Fig. 1), which have nourished the Mongolian people and created a unique Mongolian nomadic civilization (5). Many of these lakes on the plateau are internationally important wetlands for threatened species and migratory waterfowls, 13 of which are designated to be protected by the Ramsar Convention (6) (*SI Appendix, Text S1*).

However, a number of lakes have shrunk remarkably in recent decades as a result of intensive human activities and climate change. The shrinkage and drying up of lakes have exacerbated the deterioration of regional environment, which has directly threatened the livelihood of local people. Because of the degradation of lakes and grasslands, the plateau has become one of the major sources of sand–dust storms in northern China (7, 8), and dust from this region was even detected in North America in 1998 (9). Although several previous works have examined the changes in some lakes on the plateau (10, 11), a collective study of changes in the lakes across the plateau has not been performed. Using 1,240 available scenes of multitemporal images of *Landsat* Multispectral Scanner (MSS), Thematic Mapper (TM), and Enhanced Thematic Mapper (ETM+) from the late 1970s to 2010, combined with information on climate, topography, land use, human activity, and high-resolution Google Earth images, we established a database of Mongolian lakes (MONLAKE) for

the entire plateau (for details see *Materials and Methods* and *SI Appendix, Text S2* and *Table S1*). Using this database, we explored the changes in the lakes over the past three decades and investigated their possible driving forces.

Results and Discussion

Changes in Lakes >1 km² Between the late 1980s and 2010. We first investigated the changes in lakes with surface area >1 km² between the late 1980s and around 2010 using TM and ETM+ images. The images used were consistently obtained in the wet season (June to September). To better describe the changes in different-sized lakes, the lakes were categorized into three classes: small (1–10 km²), medium (10–50 km²), and large lakes (>50 km²) (refs. 12, 13, *SI Appendix, Text S2*). A total of 785 lakes (427 in Inner Mongolia and 358 in Mongolia) with water surface area >1 km² were detected across the plateau in the late 1980s (around 1987) (Fig. 1), of which small, medium, and large lakes accounted for 88.4%, 7.1%, and 4.5%, respectively (Fig. 2*A* and *Table 1*) (For information on the locations and water surface area of all of the lakes, see *SI Appendix, Table S1A*). Over the past two decades, a large number of lakes have dried up. The number of lakes with an area >1 km² has decreased from 785 in the late 1980s to 577 in 2010 (*Table 1*), with the disappearance of 145 lakes in Inner Mongolia and 63 lakes in Mongolia (i.e., respective decreases of 34.0% and 17.6%), suggesting more severe conditions in the former than in the latter. Accompanying the decrease in the number of lakes, a rapid shrinkage of lake surface area has also occurred, especially in Inner Mongolia: the total water surface area of the lakes decreased from 4,160.2 km² in the late 1980s to 2,900.6 km² in 2010, a decrease of 30.3% (Fig. 2*B* and *C*, *Table 1*).

Temporal Changes in Lakes >10 km² Between the late 1970s and 2010. To further understand the changes in lakes, the temporal changes in all of the 91 medium (10–50 km²) and large lakes

Significance

The Mongolian Plateau, composed mainly of Inner Mongolia in China and the Republic of Mongolia, has been experiencing remarkable lake shrinkage during the recent decades because of intensive human activities and climate changes. This study provides a comprehensive satellite-based evaluation of lake shrinkage across the plateau, and finds a greater decreasing rate of the number of lakes in Inner Mongolia than in Mongolia (34.0% vs. 17.6%) between the late 1980s and 2010, due mainly to an unsustainable mining boom and agricultural irrigation in the former. Disastrous damages to the natural systems are threatening the livelihood of local people, and we thus call for an urgent action to prevent further deterioration.

Author contributions: J.F. designed research; S.T., J.F., and X.Z. performed research; and S.T., J.F., X.Z., S.Z., H.S., H.H., Z.T., Z.W., and Q.G. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

¹To whom correspondence should be addressed. Email: jyfang@urban.pku.edu.cn or fangjingyun@ibcas.ac.cn.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1411748112/-DCSupplemental.

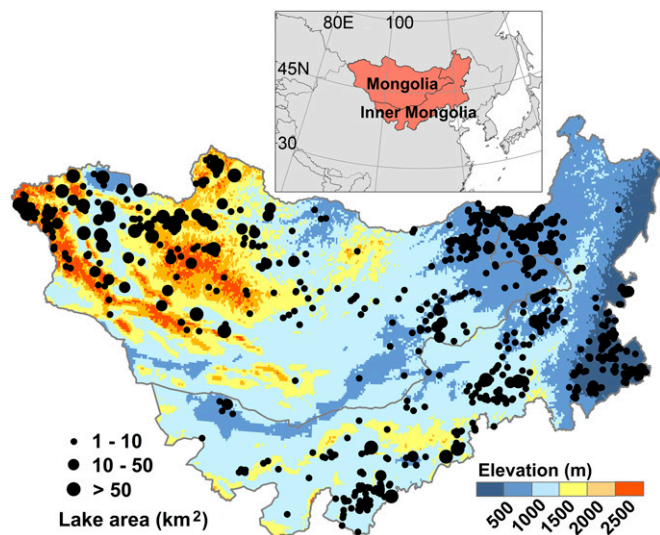


Fig. 1. Distribution of lakes with water surface area >1 km² on the Mongolian Plateau. Inset shows the study area.

(>50 km²) between the late 1970s and 2010 were investigated using MSS data from the late 1970s and early 1980s and TM/ETM+ data from the late 1980s to 2010 (*SI Appendix, Text S2, Fig. S1 and Table S1B*). The water surface area of most medium and large lakes in Inner Mongolia significantly declined, dropping by 21.5% from a total area of 3,136.4 km² in the late 1980s to 2,461.6 km² in 2010. For instance, Hulun Lake (I20 in *SI Appendix, Fig. S1 and Table S1*), which is the largest lake in Inner Mongolia and one of China's five largest freshwater lakes, has shrunk by 357.2 km² (17%) since 2000. A similar decline was observed in Dalinor Lake (I22, decreased by 14%). Since the 1970s, Daihai Lake (I24) and Hongjian Nuur (I27) have shrunk by 78.8 km² (53%) and 21.3 km² (38%), respectively. Taolimiao-Alashan Nuur (I11), Naiman Xihu (I17), and Huangqihai Lake (I23) have all dried up (*SI Appendix, Fig. S2*). All these lakes are nationally or even internationally important wetlands. Compared with these shrunken lakes, the surface area of a few lakes in Inner Mongolia has increased because of anthropogenic management or intervention. For example, Tumuji Paozi (I16, a national nature reserve) was recharged from the Chuo'er River (14); East Juyan Lake (I19) was fed by the Heihe River (15); and Wuliangshuai Lake (I26) received water diverted from the Yellow River (16). In contrast, the changes in the medium and large lakes in Mongolia showed geographical differences (*SI Appendix, Fig. S1*): a few lakes in the eastern arid region declined or dried up, whereas those in the western areas did not change significantly or even slightly increased because of the water recharge from accelerated melting of glaciers in the upper reaches of the mountains, e.g., Khyargas Lake (M54) and Uvs Lake (M62) (17).

To identify an overall trend in the changes in these medium and large lakes, we calculated the relative water area (RWA, in percent) of these lakes for nine periods from the late 1970s to 2010: 1976–1980, 1981–1985, 1986–1990, 1991–1993, 1994–1997, 1998–2000, 2001–2003, 2004–07, and 2008–2010, using the following equation (18):

$$RWA(\%) = \frac{1}{n} \sum_{i=1}^n (A_i/A_i^s) \times 100, \quad [1]$$

where n is the number of lakes, A_i represents the averaged surface area of the i th lake in one of these nine periods, and A_i^s is the water surface area of the i th lake in the base period (1986–1990). The period 1986–1990 was used as the base period

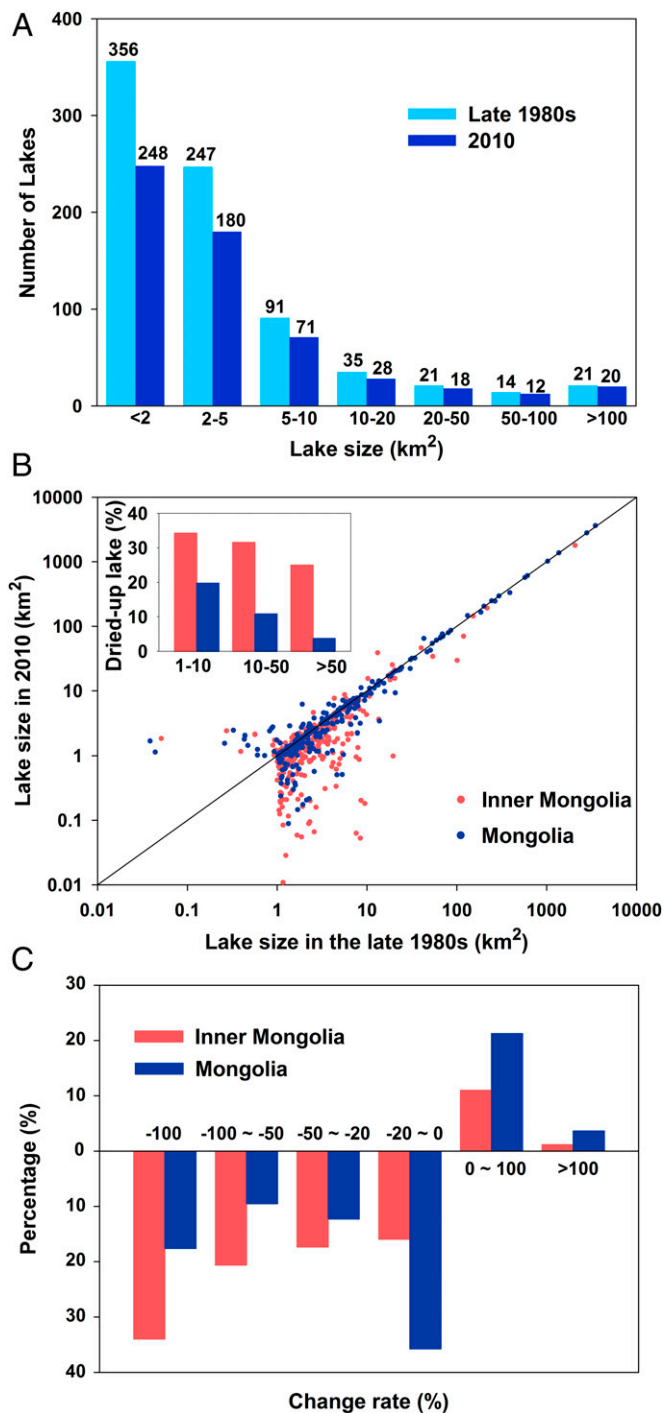


Fig. 2. Changes in lakes on the Mongolian Plateau between the late 1980s and 2010. (A) Frequency distribution of lake sizes in the late 1980s and 2010 over the plateau, revealing that the number of lakes of all sizes (especially small lakes, i.e., 1–10 km²) had decreased significantly. (B) Comparison between water surface area of lakes in the late 1980s and 2010 for Inner Mongolia and Mongolia (on logarithmic scale); Inset shows the percentage of dried-up lakes for small, medium, and large lakes, suggesting that a large number of lakes had disappeared during the study period, especially in Inner Mongolia. (C) Frequency distribution of the rate of change in lake size between the late 1980s and 2010 for Inner Mongolia and Mongolia, showing that the water surface area of most lakes had declined, but that of a few lakes had increased. A change rate of –100% shows that the lakes had dried up.

Table 1. Changes in number and total surface area of lakes on the Mongolian Plateau between the late 1980s (around 1987) and 2010

Lake class	Lakes in 1987		Lakes in 2010		Lake changes			
	Number of lakes	Total area (km ²)	Number of lakes	Total area (km ²)	Number of dried-up lakes	Change in number of lakes (%)	Change in total area (km ²)	Change in total area (%)
Inner Mongolia								
1–10 km ²	400	1,023.8	263	439.0	137	–34.3	–584.8	–57.1
10–50 km ²	19	297.0	13	216.2	6	–31.6	–80.8	–27.3
>50 km ²	8	2,839.4	6	2,245.4	2	–25.0	–594.0	–21.0
All lakes	427	4,160.2	282	2,900.6	145	–34.0	–1,259.6	–30.3
Mongolia								
1–10 km ²	294	789.4	236	569.2	58	–19.7	–220.2	–27.9
10–50 km ²	37	611.8	33	491.3	4	–10.8	–120.5	–19.7
>50 km ²	27	12,410.7	26	12,419.1	1	–3.7	8.4	0.07
All lakes	358	13,811.9	295	13,479.6	63	–17.6	–332.3	–2.4
Whole plateau								
1–10 km ²	694	1,813.2	499	1,008.2	195	–28.1	–805.0	–44.4
10–50 km ²	56	908.8	46	707.5	10	–17.9	–201.3	–22.2
>50 km ²	35	15,250.1	32	14,664.5	3	–8.6	–585.6	–3.8
All lakes	785	17,972.1	577	16,380.2	208	–26.5	–1,591.9	–8.9

because satellite images were available for all of the medium and large lakes.

The total water surface area of the lakes in Inner Mongolia showed an increasing trend before the mid-1990s, but then shrank rapidly (Fig. 3). In 2010, the total surface area of the lakes was only 60% of that in the late 1980s, with values of 57% and 61% for the medium and large lakes, respectively. Compared with this rapid loss of lakes, the total surface area of the medium and large lakes in Mongolia did not change significantly. Note that we present the respective changes in the RWA values in Inner Mongolia and Mongolia in Fig. 3 because of the different trends in the lake changes in these two regions.

Effects of Regional Climate and Human Activities on Lake Changes.

We analyzed the effects of regional climate and human activities on the lake changes to explore the possible driving factors. We used annual mean temperature (AMT, in degrees Celsius),

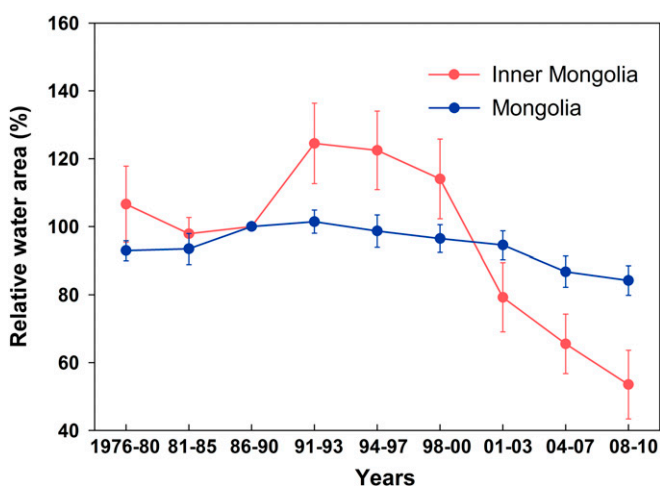


Fig. 3. Changes in relative water area (RWA, in percent) for medium (10–50 km²) and large lakes (>50 km²) in different periods (from the late 1970s to 2010) in Inner Mongolia and Mongolia, suggesting that RWA peaked in the period 1991–1993 and then declined for both Inner Mongolia and Mongolia, with the former showing greater decrease trend than the latter. The bars show SEs of RWA for each period. For details on the calculation of RWA, see the text and Eq. 1.

annual precipitation (AP, in millimeters), and Thornthwaite’s potential evapotranspiration (PET) (19) as measures of regional climate, and grazing, coal mining, and irrigation as indicators of human activities.

Over the past 30 y, a consistent increase in AMT and PET for both Inner Mongolia and Mongolia ($P < 0.001$) and a decreasing trend in AP after the 1990s have been observed ($P = 0.056$ for the former, and $P = 0.009$ for the latter) (Fig. 4A–C). These may have aggravated aridification of the plateau after the 1990s, and become a possible cause of lake shrinkage.

During the study period, grazing intensity in both regions has increased, although the increasing trend slowed down after 2005 (Fig. 4D). Overgrazing leads to grassland degradation and subsequent reductions in soil function and water conservation of grasslands, and thus affects the lake water supply (17, 20). Coal mining has been widely operated across Inner Mongolia since the late 1990s, especially in the grassland area (SI Appendix, Fig. S3). The number of mining enterprises increased dramatically from 156 in 2000 to 865 in 2010, and the associated coal production increased from 72 to 789 Tg (1 Tg = 10¹² g) (Fig. 4E) (3). Coal mining is an extremely water-intensive industry (refs. 21, 22; SI Appendix, Fig. S4), it cuts off rivers and destructs underground aquifer (23, 24), consuming 2.54 m³ water for extracting every ton of coal (25). In the cropland area of Inner Mongolia (SI Appendix, Figs. S3 and S4), the exploitation of groundwater and rivers for agricultural irrigation might be another driving force of lake shrinkage. During the past decades, the area of irrigated croplands in Inner Mongolia has increased from 0.66 million hectare (ha) in the late 1970s to 3.03 million ha in 2010 (Fig. 4F) (3). In the southeastern areas of Inner Mongolia (traditionally, an agropastoral transitional zone with an annual precipitation of 300–400 mm), where grasslands have been largely reclaimed into croplands (26) (SI Appendix, Fig. S3), irrigation has caused a rapid depletion of both groundwater and river water. For example, the groundwater depth in Tongliao City in southeastern Inner Mongolia has dropped from 2.5 m in 1980 to 5.2 m in 2009 (SI Appendix, Fig. S5) (27). Compared with this rapid expansion in the cropland in Inner Mongolia, arable land in Mongolia is sparsely distributed in the northern areas where there are a few lakes (SI Appendix, Fig. S6A). In addition, the agricultural area in Mongolia decreased since the late 1990s (SI Appendix, Fig. S6B), suggesting a negligible effect of irrigation on the changes in lake.

To quantify the relative contribution of the aforementioned natural and human factors to the changes in lake area, we performed correlation analysis and multiple general linear model

ACKNOWLEDGMENTS. We thank S. Q. Gao and C. Z. Liang for providing information on mining and irrigation in Ordos of Inner Mongolia. We are also grateful for constructive comments from two anonymous reviewers on an early version of the manuscript. This work was funded by the National

Natural Science Foundation of China (Grants 31321061 and 31330012), National Basic Research Program of China on Global Change (Grant 2010CB950600), and Strategic Priority Research Program of the Chinese Academy of Sciences (Grant XDA05050000).

1. Encyclopaedia Britannica (2014) *Steppe*. Encyclopaedia Britannica Online Academic Edition. Available at www.britannica.com/EBchecked/topic/565551/the-Steppe.
2. Sneath D (1998) Ecology - State policy and pasture degradation in inner Asia. *Science* 281(5380):1147–1148.
3. Inner Mongolia Autonomous Region Bureau of Statistics (1989–2012) *Inner Mongolia Statistical Yearbook* (China Statistics Press, Beijing).
4. National Statistical Office of Mongolia (2008) *Mongolian Statistical Yearbook 2008* (National Statistical Office of Mongolia, Ulaanbaatar).
5. Neupert RF (1999) Population, nomadic pastoralism and the environment in the Mongolian Plateau. *Popul Environ* 20(5):413–441.
6. Ramsar Convention Bureau (2013) *The List of Wetlands of International Importance* (The Secretariat of the Convention on Wetlands, Gland, Switzerland).
7. Wang XM, Dong ZB, Zhang JW, Liu LC (2004) Modern dust storms in China: An overview. *J Arid Environ* 58(4):559–574.
8. Xia X, Yang G (1996) *Dust Storms and its Control in Northwest China* (Chinese Environmental Press, Beijing).
9. Husar RB, et al. (2001) Asian dust events of April 1998. *J Geophys Res Atmos* 106(D16): 18317–18330.
10. Liu H, et al. (2013) Disappearing lakes in semiarid Northern China: Drivers and environmental impact. *Environ Sci Technol* 47(21):12107–12114.
11. Davaa G (2010) Climate change impacts on water resources in Mongolia. *Proceedings of Consultative Meeting on Integration of Climate Change Adaptation into Sustainable Development in Mongolia* (Institute for Global Environmental Strategies, Hayama, Japan), pp 30–36.
12. Ma RH, et al. (2011) China's lakes at present: Number, area and spatial distribution. *Sci China Ser D Earth Sci* 54(2):283–289.
13. Wang SM, Dou HS (1998) *Lakes in China* (Science Press, Beijing).
14. Li HQ, Ma XJ, Jia YL, Li H (2006) The species composition, distribution and conservation measures of the endangered birds in Tumuji Natural Reserve. *Inn Mong For Invest Des* 29(6):57–59.
15. Jiang XH, Liu CM (2010) The influence of water regulation on vegetation in the lower Heihe River. *J Geogr Sci* 20(5):701–711.
16. Yu RH, Liu TX, Xu PY, Li CY (2007) The impacts of human activities on the Wuliangshuai wetland environment. *J Lake Sci* 19(4):465–472.
17. Batnasan N (2003) Freshwater issues in Mongolia. *Proceeding of the National Seminar on Integrated River Basin Management* (WWF Mongolia Programme Office, Ulaanbaatar, Mongolia), pp 53–61.
18. Fang JY, et al. (2006) Biodiversity changes in the lakes of the Central Yangtze. *Front Ecol Environ* 4(7):369–377.
19. Shaw EM (1994) *Hydrology in Practice* (Chapman & Hall, London), 3rd Ed.
20. Sasaki T, Okayasu T, Jamsran U, Takeuchi K (2008) Threshold changes in vegetation along a grazing gradient in Mongolian rangelands. *J Ecol* 96(1):145–154.
21. Bebbington AJ, Bury JT (2009) Institutional challenges for mining and sustainability in Peru. *Proc Natl Acad Sci USA* 106(41):17296–17301.
22. Palmer MA, et al. (2010) Science and regulation. Mountaintop mining consequences. *Science* 327(5962):148–149.
23. Zhang B, Song XF, Ma Y, Bu HM (2013) Impact of coal power base constructions on the environment around the Wulagai water reservoir, Xilinguole, Inner Mongolia. *J Arid Land Res Environ* 27(1):190–194.
24. Ma XF, et al. (2012) A study on aquifer and their protection in Ordos basin coal base. *Coal Geol China* 24(8):36–42.
25. Liu SQ (2009) Consideration on sustainable use of water resources in Shanxi province. *Chin Water Resour* (5):44–45.
26. Dong J, Liu J, Yan H, Tao F, Kuang W (2011) Spatio-temporal pattern and rationality of land reclamation and cropland abandonment in mid-eastern Inner Mongolia of China in 1990–2005. *Environ Monit Assess* 179(1–4):137–153.
27. Wang HY, Li WX, Yang YJ, Jiang DK (2011) Groundwater dynamics in plain area in Tongliao, Inner Mongolia. *Inn Mong Water Resour* 4:111–113.
28. Burnham KP, Anderson DR (2002) *Model-Selection and Multi-Model Inference: A Practical Information-Theoretic Approach* (Springer, New York).
29. Greenpeace (2013) Thirsty coal 2: Shenhua's water grab (Greenpeace, East Asia) (www.greenpeace.org/eastasia/publications/reports/climate-energy/2013/thirsty-coal-two-china/) Accessed June 2, 2014.
30. Li DK, Zhuo J, Wang Z (2009) Effect of human activities and climate change on the water surface area of Hongjiannao Lake. *J Glaciol Geocryol* 31(6):1110–1115.
31. Guan RH (2011) Research on landscape pattern evolution monitoring technology in Dalinor National Nature Reserve based on 3S. PhD dissertation (Inner Mongolia Agriculture University, Hohhot).
32. Mu H (2001) *Lakes in Inner Mongolia* (The Inner Mongolia People's Publishing House, Hohhot).
33. Huang Q, Jiang JH (1999) Analysis of water level descent in Daihai Lake. *J Lake Sci* 11(4):304–310.
34. Feng Q, Cheng GD, Endo KN (2001) Towards sustainable development of the environmentally degraded River Heihe basin, China. *Hydrol Sci J* 46(5):647–658.
35. Zhao XY, Luo YY, Wang SK, Huang WD, Lian J (2010) Is desertification reversion sustainable in northern China?—A case study in Naiman county, part of a typical agropastoral transitional zone in Inner Mongolia, China. *Global Environ Res* 14:63–70.
36. World Energy Council (2010) *2010 Survey of Energy Resources* (World Energy Council, London).
37. Bulag UE (2010) Mongolia in 2009 from landlocked to land-linked cosmopolitan. *Asian Surv* 50(1):97–103.
38. Solomon S, et al., eds (2007) *Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* (Cambridge Univ. Press, New York).
39. Pederson N, Hessel AE, Baatarbileg N, Anchukaitis KJ, Di Cosmo N (2014) Pluvials, droughts, the Mongol Empire, and modern Mongolia. *Proc Natl Acad Sci USA* 111(12): 4375–4379.
40. Cai X (2008) Water stress, water transfer and social equity in Northern China—Implications for policy reforms. *J Environ Manage* 87(1):14–25.
41. Dagvadorj D (2010) The climate change policy and measures in Mongolia. *Proceedings of Consultative Meeting on Integration of Climate Change Adaptation into Sustainable Development in Mongolia* (Institute for Global Environmental Strategies, Hayama, Japan), pp 5–10.
42. McFeeters SK (1996) The use of the normalized difference water index (NDWI) in the delineation of open water features. *Int J Remote Sens* 17(7):1425–1432.
43. Rogers AS, Kearney MS (2004) Reducing signature variability in unmixed coastal marsh Thematic Mapper scenes using spectral indices. *Int J Remote Sens* 25(12): 2317–2335.
44. Xu HQ (2006) Modification of normalised difference water index (NDWI) to enhance open water features in remotely sensed imagery. *Int J Remote Sens* 27(14):3025–3033.
45. Hijmans RJ, Cameron SE, Parra JL, Jones PG, Jarvis A (2005) Very high resolution interpolated climate surfaces for global land areas. *Int J Climatol* 25(15):1965–1978.
46. R Development Core Team (2014) *R: A Language and Environment for Statistical Computing* (R Foundation for Statistical Computing, Vienna, Austria). Available at www.R-project.org. Accessed September 2, 2014.